

Attorney Docket No.: SEL 291

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:	
	Yamazaki et al.	I hereby certify that this correspondence
Seria	l No.: 09/993,993	is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Filed	: November 14, 2001)	Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 11/2/04
For:	Semiconductor Device And Method) Of Manufacturing The Same	Shannin Wallace
Exam	niner: M. Tran	Commence of the second
Art U) init: 2826)	Date: Decomber 2, 2009

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.97, as revised on February 4, 1992, 1135 OG 23-24, Applicant hereby call the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents is enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a

particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

Please charge our Deposit Account No. 50/1039 if any fee should due for this IDS.

Respectfully submitted,

Mark J. Murphy

Registration No. 34,225

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/993,993			
Filing Date	November 14, 2001			
First Named Inventor	Shunpei Yamazaki			
Art Unit	2826			
Examiner Name	Minh Loan Tran			
Attorney Docket Number	0553-0291			

			U. S. PATENT D	DCUMENTS	L B C L Lines Miners
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	т6
		Country Code ^{3 "} Number ^{4 "} Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	<u> </u>
		EP 1 003 223 A2	05-24-2000	Yamazaki		<u> </u>
	<u> </u>	JP 2001-85700	03-30-2001	Suzawa, et al.	Translated abs.	<u> </u>
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwor ersons are required to respond to a collection of information unless it contains a valid OMB control <u>num</u>ber. Complete if Known Substitute for form 1449/PTO **Application Number** 09/993.993 Filing Date INFORMATION DISCLOSURE November 14, 2001 STATEMENT BY APPLICANT **First Named Inventor** Shunpei Yamazaki Art Unit 2826 (Use as many sheets as necessary) **Examiner Name** Minh Loan Tran Attorney Docket Number Sheet 0553-0291 2

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		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issu number(s), publisher, city and/or country where published.			
		Specification, claims as filed, abstract, drawings, Official Filing Receipt of US patent application No. 09/433,705, filed on November 4, 1999 (not published).		
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